



CITY OF DANBURY
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DANBURY, CONNECTICUT 06810

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PURCHASING AGENT

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November 17, 2010

ADDENDUM #1

To

Bid #10-10-11-06 "Service Contractor for Deferred Compensation 457 (b) Program"

This addendum shall be part of the Purchasing documents for the above captioned Bid. This addendum is to be acknowledged by the bidder by signing as provided below and returning with the bidder's proposal.

CHANGE: Replace existing Section 4.2, which appears on pages 13 & 14 with the attached revised Section 4.2 (1-page)

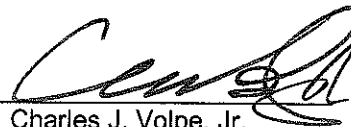
Receipt of the addendum is hereby acknowledged.

Bidder _____

Signature _____

Title _____

Date _____



Charles J. Volpe, Jr.
Purchasing Agent
City of Danbury

4.2 Experience

1. How long has your organization been providing services to governmental 457 deferred compensation plans?
2. How many governmental 457 Plans in the \$25 -\$100 million range does your company administer and advise on? Please list the number of participants and assets for each plan.
3. For three of the clients listed in your response to 4.2, question 2 above, provide the following:
 - a. Client Name
 - b. Contact Name and Title
 - c. Telephone Number/Fax/Email Address
4. List all 457 clients your firm has lost in the last three years and reasons for such loss.
5. **Agents** (if Agents are not proposed, skip to section 4.2, question 5.d)
 - a. If your firm uses Agents, describe how your firm licenses or registers agents or representatives and how it decides how many Agents to license. Describe the requirements your firm places on Agents before your firm allows them to sell your products, including any mandatory deferred compensation training.
 - b. Describe how you train Agents and keep them updated with current plan information, including forms and marketing material.
 - c. Will such Agents be selling life and other products to participants?
 - d. Describe how you determine who may hold educational sessions (such as lunch & learns) for employees and how the material used is developed.
 - e. Bidders are encouraged to assign a dedicated account representative/agent to work with City employees for a minimum of two years. Describe your firm's proposed action regarding this.